

<b>Provider Name &amp; ID</b> _____		<b>Pt Name:</b> _____						
<b>Code Billed:</b> _____		<b>Auditor's Code:</b> _____ <b>D.O.S.</b>						
<b>Consult:</b> Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, <u>ALL</u> 3 must be documented (Request <input type="checkbox"/> Report <input type="checkbox"/> Recommendation <input type="checkbox"/> )								
<b>Chief Complaint:</b> _____								
HISTORY	<b>HPI (history of present illness) elements:</b>							
	<b>HPI: Status of chronic conditions:</b> <input type="checkbox"/> 1 condition <input type="checkbox"/> 2 condition <input type="checkbox"/> 3 condition <p style="text-align: center; font-weight: bold; margin: 0;">OR</p> <input type="checkbox"/> <b>Location</b> Where is problem? <input type="checkbox"/> <b>Timing</b> Frequency of signs or symptoms <input type="checkbox"/> <b>Modifying Factors</b> What have you done to alleviate or worsen symptoms?				Status of 1-2 chronic conditions		Status of 3 chronic conditions	
	<input type="checkbox"/> <b>Severity</b> How bad on a scale 1/10	<input type="checkbox"/> <b>Duration</b> Onset of signs or symptoms	<input type="checkbox"/> <b>Associated Signs/Symptoms</b> What else is bothering you?		Brief 1-3 elements		Extended ≥ 4 elements	
	<input type="checkbox"/> <b>Quality</b> Sharp/dull/ hot/dry	<input type="checkbox"/> <b>Context</b> What are you doing when sxs occurs?						
	<b>ROS (Review of Systems)</b> <input type="checkbox"/> Constitutional <input type="checkbox"/> Card/Vasc <input type="checkbox"/> Musculo <input type="checkbox"/> Psych <input type="checkbox"/> "All Others Negative" <input type="checkbox"/> Eyes <input type="checkbox"/> Respiratory <input type="checkbox"/> Integument <input type="checkbox"/> Endo <input type="checkbox"/> Ears, Nose, Mouth, Throat <input type="checkbox"/> GI <input type="checkbox"/> GU <input type="checkbox"/> Hem/Lymph <input type="checkbox"/> Neuro <input type="checkbox"/> Allerg/Imm.			None	1 ROS	Extended 2-9 ROS	Complete ≥ 10 ROS or some systems + statement "all others negative"	
	<b>No PFSH required: 99231, 99232 &amp; 99233</b> <input type="checkbox"/> <b>Past History</b> (the pt's past experiences w/illnesses, operations, injuries, treatments, medications & allergies)			<b>Established/ Subsequent *E.D.</b>	None	1 PFSH	2-3 PFSH	
	<input type="checkbox"/> <b>Family History</b> (review of medical events in the pt's family including diseases which are hereditary or put the pt at risk)							
	<input type="checkbox"/> <b>Social History</b> (an age appropriate review of past and current activities)			<b>New/ Consult/ Admit</b>	None	1-2 PFSH	3 PFSH	
	To determine history level, draw a line down the column with the circle <b>farthest to the left</b> .							
	Important Note: Allow a comprehensive history if the physician is <b>unable to obtain a history</b> from the patient <b>or other source</b> . The <b>record should describe</b> the patient's condition or circumstance that precludes obtaining history. *99281-99285: No distinction is made between <b>new &amp; established</b> patients in the <b>E.D</b>				<b>PROBLEM FOCUSED  PF</b>	<b>EXP. PROB. FOCUSED  EPF</b>	<b>DETAILED  D</b>	<b>COMPRE- HENSIVE  C</b>

Check the appropriate 1997 specialty examination form used for the provider's specialty. Attach the completed form to this audit tool.

- General Multi-System Specialty Exam
- Cardiovascular
- Dermatology
- Ears, Nose and Throat
- Eyes
- Genitourinary (Female)
- Genitourinary (Male)
- Hematologic/Lymphatic/Immunologic Examination
- Musculoskeletal
- Neurology
- Psychiatry
- Respiratory

A Presenting Problems to the Treating Provider (# Diags Require Active Management or Affect Treatment Options)			B Amount and/or Complexity of Data to be Reviewed Pts.	
	Points = Result			
Self limited / minor (stable, improved or worse)	Max=2	1	Review or order of clinical lab tests	1
Est. problem (stable, improved)		1	Review or order of tests in the radiology section of CPT	1
Est. problem (worsening)		2	Review or order of tests in the medicine section of CPT	1
New problem (to Provider) (no add'l workup)	Max=1	3	Discussion of test results with performing physician	1
New problem (to Provider) (additional workup)		4	Decide to obtain old records or to obtain history from someone else	1
			Review & summarize old records or get Hx from someone or talk with other provider	2
Bring total to Line A in Final Result for Complexity TOTAL			Independent visualization of image, tracing or specimen itself (not simply review of the paper copy report)	2
			Bring total to Line B in Final Result for Complexity TOTAL	

C Risk of Complications / Morbidity / Mortality: Check off all that apply. The highest level of risk in any one column determines the overall risk.					
Level	Presenting Problem(s)	Diagnostic Procedure(s) Ordered	Management Options Selected		
MINIMAL	<ul style="list-style-type: none"> <li>One self-limited or minor problem, e.g., cold, insect bite, tinea corporis</li> </ul>	<ul style="list-style-type: none"> <li>Laboratory tests requiring venipuncture</li> <li>Chest x-rays KOH prep or EKG/EEG</li> <li>Urinalysis or Ultrasound e.g., echo</li> <li>Potassium Dydroxide prep etc.</li> </ul>	<ul style="list-style-type: none"> <li>Rest</li> <li>Gargles</li> <li>Elastic bandages</li> <li>Superficial dressings</li> </ul>		
LOW	<ul style="list-style-type: none"> <li>Two or more self-limited or minor problems</li> <li>One stable chronic illness e.g., well controlled hypertension, non-insulin dependent diabetes, cataract, BPH</li> <li>Acute uncomplicated illness or injury e.g., cystitis, allergic rhinitis, simple sprain</li> </ul>	<ul style="list-style-type: none"> <li>Physiologic test not under stress e.g., pulm. function tests</li> <li>Non-cardiovascular imaging studies with contrast e.g., barium enema</li> <li>Superficial needle biopsies or Skin biopsies</li> <li>Clinical laboratory tests requiring arterial puncture</li> </ul>	<ul style="list-style-type: none"> <li>Over the counter drugs</li> <li>Minor surgery with no identified risk factors</li> <li>Physical therapy</li> <li>Occupational therapy</li> <li>IV fluids without additives</li> </ul>		
MODERATE	<ul style="list-style-type: none"> <li>One or more chronic illnesses with mild exacerbation, progression or side effects of treatment</li> <li>Two or more stable chronic illnesses</li> <li>Undiagnosed new problem with uncertain prognosis e.g., lump in breast</li> <li>Acute illness with systemic symptoms e.g., pyelonephritis pneumonitis, colitis</li> <li>Acute complicated injury e.g., head injury with brief loss of consciousness</li> </ul>	<ul style="list-style-type: none"> <li>Physiologic test under stress e.g., cardiac stress test, fetal contraction stress test</li> <li>Diagnostic endoscopies with no identified risk factors</li> <li>Deep needle or incisional biopsy</li> <li>Cardiovascular imaging studies with contrast and no identified risk factors e.g., arteriogram, cardiac cath</li> <li>Obtain fluid from body cavity e.g., lumbar puncture, thoracentesis, culdocentesis</li> </ul>	<ul style="list-style-type: none"> <li>Minor surgery with identified risk factors</li> <li>Elective major surgery (open percutaneous or endoscopic) with no identified risk factors)</li> <li>Prescription drug management</li> <li>Therapeutic nuclear medicine</li> <li>IV fluids with additives</li> <li>Closed treatment of fracture or dislocation without manipulation</li> </ul>		
HIGH	<ul style="list-style-type: none"> <li>One or more chronic illnesses with severe exacerbation, progression or side effects of treatment</li> <li>Acute or chronic illnesses or injuries that may pose a threat to life or bodily function e.g., multiple trauma, acute MI, pulmonary embolus, severe respiratory distress, progressive severe rheumatoid arthritis, psychiatric illness w/potential threat to self or others, peritonitis, acute renal failure</li> <li>An abrupt change in neurological status e.g., seizure, TIA, weakness, sensory loss</li> </ul>	<ul style="list-style-type: none"> <li>Cardiovascular imaging studies with contrast with identified risk factors</li> <li>Cardiac electrophysiological tests</li> <li>Diagnostic endoscopies with identified risk factors</li> <li>Discography</li> </ul>	<ul style="list-style-type: none"> <li>Elective major surgery (open, percutaneous or endoscopic) with identified risk factor</li> <li>Emergency major surgery (open, percutaneous or endoscopic)</li> <li>Parenteral controlled substances</li> <li>Drug therapy requiring intensive monitoring for toxicity</li> <li>Decision not to resuscitate or de-escalate care because of poor prognosis</li> </ul>		
A	Circle the Total number in section A	≤ 1 Minimal	2 Limited	3 Multiple	≥ 4 Extensive
B	Circle the Total number in section B	≤ 1 Minimal or None	2 Limited	3 Multiple	≥ 4 Extensive
C	Circle the Level in section C	Minimal	Low	Moderate	High
Complexity Level of Medical Decision Making (Mdm)		STRAIGHTFORWARD SF	LOW L	MODERATE M	HIGH H
Draw a line down the column with 2 or 3 circles and circle decision making level OR Draw a line down the column with the center circle = level of Mdm					

TIME	If the physician documents total time and suggests that counseling or coordinating care dominates the encounter, time may determine level of service. Documentation may refer to: prognosis, differential diagnosis, risks, benefits of treatment, instructions, compliance, and/or risk reduction.		If all answers are "yes," you may select the level based on time.	
	Does documentation reveal total time?	Time: Face-to-face outpatient setting Unit/floor in inpatient setting		<input type="checkbox"/> Yes <input type="checkbox"/> No
	Does documentation describe the content of counseling or coordinating care?			<input type="checkbox"/> Yes <input type="checkbox"/> No
	Does documentation reveal that > 50% of time was counseling/coordinating care?			<input type="checkbox"/> Yes <input type="checkbox"/> No

PLEASE NOTE: Time factors are indicated by CPT code followed by -xx (example: 99201-10 indicates 10 minutes)  
 Directions: Transfer the history, exam and medical decision making results to the correct chart below & follow the instructions for that Code family

New Office/Outpatient Visits & Office/Inpatient Consultations						Established Patient Office/Outpatient Visits					
Level	Draw a line down the column which has a key component identified which is the farthest to the left (leveled by the lowest)					Minimal problem that may not require presence of MD/DO	If a column has 2 or 3 circles, draw a line down the column and circle the code OR draw a line down the column with the center circle and circle the code				
HX	PF	EPF	D	C	C		PF	EPF	D	C	
EX	PF	EPF	<u>D</u>	C	C		PF	EPF	D	C	
MDM	SF	SF	L	M	H		SF	L	M	H	
CPT Code	99201-10 99241-15 99251-20	99202-20 99242-30 99252-40	99203-30 99243-40 99253-55	99204-45 99244-60 99254-80	99205-60 99245-80 99255-110	99211-5	99212-10	99213-15	99214-25	99215-40	

Initial Hosp. Visits & Observation Care				Subsequent Hosp.		
Level	Draw a line down the column which has a key component identified which is the farthest to the left (leveled by the lowest) These are PER DAY CODES			If a column has 2 or 3 circles, draw a line down the column and circle the code OR draw a line down the column with the center circle and circle the code This is a PER DAY CODE		
HX	D or C	C	<u>C</u>	PF interval	EPF interval	D interval
EX	D or C	C	C	PF	EPF	D
MDM	SF/L	M	H	SF/L	M	H
CPT Code	99221-30 99218 99234	99222-50 99219 99235	99223-70 99220 99236	99231-15	99232-25	99233-35

EMERGENCY CARE SERVICES					
Draw a line down the column which has a key component identified which is the farthest to the left (leveled by the lowest)					
HX	PF	EPF	EPF	D	C
EX	PF	EPF	EPF	D	C
MDM	SF	L	M	M	H
CPT Code	99281	99282	99283	99284	99285

Additional Comments: \_\_\_\_\_

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Directions: Transfer history, exam and medical decision making results to appropriate chart below and follow the specific instructions for chart.

**These are PER DAY CODES, time factors effective 2007**

	Initial Nursing Facility Care			Subsequent Nursing Facility Care			
Level	Draw a line down the column which has a key component identified which is the farthest to the left (leveled by the lowest)			If a column has 2 or 3 circles, draw a line down the column and circle the code OR draw a line down the column with the center circle and circle the code			
HX	D	C	C	PF	EPF	D	C
EX	D	C	C	PF	EPF	D	C
MDM	L	M	H	SF	L	M	M to H
CPT Code	99304-25	99305-35	99306-45	99307-10	99308-15	99309-25	99310-35

	New Patient Home/Domiciliary/Custodial/Rest Home Etc.					Established Home/Domiciliary/Custodial/Rest Home Etc.			
	Draw a line down the column which has a key component identified which is the farthest to the left (leveled by the lowest).					If a column has 2 or 3 circles, draw a line down the column and circle the code OR draw a line down the column with the center circle and circle the code			
HX	PF	EPF	D	C	C	PF interval	EPF interval	D interval	C interval
EX	PF	EPF	D	C	C	PF	EPF	D	C
MDM	SF	SF	L	M	H	SF	L	M	M to H
CPT Code	99341-20 99324-20	99342-30 99325-30	99343-45 99326-45	99344-60 99327-60	99345-75 99328-75	99347-15 99334-15	99348-25 99335-25	99349-40 99336-40	99350-60 99337-60

**Abbreviation Legend:**  
 CC = Chief Complaint  
 HX = History  
 PF = Problem Focused  
 SF = Straightforward

ROS = Review of System  
 EX = Exam  
 EPF = Expanded Problem Focused  
 L = Low

PFSH = (Past, Family, Social) History  
 Mdm = Medical Decision Making  
 D = Detailed  
 M = Moderate  
 C = Comprehensive  
 H = High

Additional Comments: \_\_\_\_\_  
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